

## CALL FOR EUROPE 2021

**ANNEX 2 - Self-declaration of the Proponent and Beneficiary Non-Profit Entity (hereinafter "Beneficiary")**  
**This Self-Declaration must accompany the application and all the documentation described in this document**

<b>Beneficiary Name</b>	
<b>- VAT/Tax Code</b>	
<b>Beneficiary's Management Board Members</b> (including Date of birth)	1. 2. 3. ...
<b>Name of the Beneficiary's Legal Representative</b> (including Date of birth)	

1. Has the beneficiary and / or have its management board members been sentenced for corruption crimes or extortion, money laundering, fraud, tax evasion, human rights violations and environmental and social violations?
2. Is the beneficiary a **Public Official/Public Enterprise**<sup>1</sup> or a person associated<sup>2</sup> with a Public Official, or is one of the members of the beneficiary's management bodies a Public Official or a person associated with a Public Official/Public Company (indirect benefits to Public Officials)?

YES	NOT
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YES	NOT
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If "YES", please provide further details:

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<sup>1</sup> Person who is employed, appointed or elected to perform any legislative, administrative, judicial function or other public function for:  
 - any country or territory. This also includes any subdivision of such country or territory as well as organisations integrating more than one country or territory;  
 - any public agency, department or instrumentality of that country or territory including any subdivision of such country or territory as well as organisations integrating more than one country or territory;  
 - any enterprise, organisation or entity owned or controlled by any of the foregoing. This includes state-owned or state-controlled companies. Generally, an entity would be deemed state-controlled where a government body has at least one of the following attributes:

- more than 50% ownership
- voting control
- board control or
- other indicia of control (e.g. golden share, government demonstration of control).

This includes also central banks, sovereign wealth funds and any business venture that is in turn owned or controlled by a government entity. Not all employees of this kind of entities should be treated as Public Officials, as it is necessary to actually determine whether the person in question performs a legislative, administrative, judicial function or other public function. An indication for that may be power of decision/authorization and legal representation with regard to these functions (e.g. Top Management, CEO, CFO of public companies to be treated differently compared to an employee of the same company without these kind of powers);

- any Public international organization, e.g. United Nations, European Union, World Bank, International Monetary Fund, etc.

This definition includes where known and when acting in an official capacity: members of any Royal Family, officials of political parties and candidates for public office.

Officers or employees of organisations that perform regulatory oversight, investigative or disciplinary authority over any of UniCredit Group Legal Entities -irrespective of the ownership or control over such organisations - shall be treated as Public Officials.

A Public Official who obviously acts in a strictly private role vis-à-vis the UC LE does not have to be treated as Public Official unless the benefit is intended to or could be perceived to be intended to influence the receiver in his/her public function.

However, an individual does not cease to be a Public Official by simply purporting to act in a private capacity.

<sup>2</sup> People who are known to be closely linked to a Public Official in a way that influencing this person actually has to be regarded as influencing the Public Official him/herself, so that the Public Official is influenced indirectly. Those persons may include close family members (e.g. spouses, children, parents or siblings) or close associates (e.g. personal advisors, or owned/controlled legal entities).

3. Has one of the beneficiary's management board members a family relationship with any of UniCredit Group Employees?

YES

NOT

If "YES", please provide further details:

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4. Are there significant transactions pending with the beneficiary or a person connected with the beneficiary e.g. UniCredit is pitching to win significant business?

YES

NOT

If "YES", please provide further details:

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5. Are governing or management bodies of the beneficiary composed at least to 1/3 of persons elected/appointed/nominated by Political Parties/Groups?

YES

NOT

If "YES", please provide further details:

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6. In the last 24 months, has the beneficiary received and/or disbursed sums in the form of donations equal to or greater than 5.000 Euros per year to finance Political Parties, Political Groups or Politicians and/or persons with governmental responsibilities?

YES

NOT

If "YES", please provide further details:

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Place and Date \_\_\_\_\_, \_\_\_\_/\_\_\_\_/\_\_\_\_

**By signing this Declaration, I accept responsibility  
in relation to the truthfulness of the information contained herein**

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(The Legal Representative of the Beneficiary)

<sup>3</sup> The husband/spouse or cohabiting partner, children, any other relative also acquired up to the fourth degree.