



ORDER EXECUTION AND TRANSACTION SERVICES

Appendix 10

1	TRADES AND OPERATIONS WITH SECURITIES REGISTERED IN CENTRAL DEPOSITORY AT MARKET: regulated market BSE-Sofia (BSE and BaSE); SME Growth Market BEAM; and over-the-counter	IN BANK OFFICE	ELECTRONIC CHANNEL
1.1.	Trades with shares /rights/compensatory instruments:		
1.1.1.	Acceptance of orders fee	6 BGN	3 BGN
1.1.2.	Commission for execution of trades for traded value:		
1.1.2.1.	up to BGN 10 000	1.80%, min 25 BGN	1.50%, min 20 BGN
1.1.2.2.	from BGN 10 000.01 to BGN 100 000	1.40%	1%
1.1.2.3.	from BGN 100 000.01 to BGN 200 000	0.90%	0.70%
1.1.2.4.	above BGN 200 000.01		negotiable
1.2.	Trades with bonds on regulated market BSE:		
1.2.1.	Acceptance of orders fee	6 BGN	3 BGN
1.2.2.	Commission for execution of trades for traded value:		
1.2.2.1.	up to BGN 75 000	20 BGN	15 BGN
1.2.2.2.	from BGN 75 000.01 to BGN 200 000	0.20%	0.15%
1.2.2.3.	above BGN 200 000.01	0.15%	0.10%
1.3.	Checking the balance of an account with the Central Depository	10 BGN	
1.4.	Request for transferring shares/rights/compensatory instruments to another investment intermediary or to the register of the Central Depository AD.	20 BGN	n.a
1.5.	Request for issuing a depository receipt	10 BGN	n.a

2	TRADES AND OPERATIONS WITH GOVERNMENT BONDES REGISTERED AT BNB	IN BANK OFFICE	ELECTRONIC CHANNEL
2.1.	Participation at primary auctions		
2.1.1.	Acceptance of orders fee	6 BGN	n.a
2.1.2.	Commission for execution of trades:		
2.1.2.1.	for approved bids /Commission is a percentage of the notional value of the bonds/	0.10%, min 15 BGN	n.a
2.1.2.2.	for not approved bids	15 BGN	n.a
2.2.	Secondary trading		
2.2.1.	Acceptance of orders fee	6 BGN	3 BGN
2.2.2.	Commission for trade with Government bonds where UCB is party to the transaction, not commissioner	no commission	n.a
2.2.3.	Commission for trade with Government bonds with the intermediation of the Bank /commissioner/ as percentage of the traded	0.10%, min 20 BGN	n.a
2.3.	For registration of bonds in case of:		
2.3.1.	transfer of Government bonds to/from the register of the Bank to from/to the register of another ESROT member	20 BGN	n.a
2.3.2.	transfer of Government bonds into the account of the Ministry of Finance for privatisation deals	20 BGN	n.a
2.3.3.	Transfer of Government bonds to another primary dealer	20 BGN	n.a
2.3.4.	Blocking/unblocking of Governments bonds in the bank's register - % of the notional value of	0.03%	n.a
2.4.	Collection of principal for Bulgarian Government bonds at Bulgarian National Bank at maturity /percentage of the notional value of the bonds/	0.03%	n.a
2.5.	Excerpt from the bank's register	20 BGN	n.a



ORDER EXECUTION AND TRANSACTION SERVICES

Appendix 10

3	TRADES AND OPERATIONS WITH SECURITIES REGISTERED AT FOREIGN DEPOSITORIES	IN BANK OFFICE	ELECTRONIC CHANNEL
3.1.	Trades with shares/ rights/ ETFs at the corresponding regulated market		
3.1.1.	Acceptance of orders fee	3 EUR	2 EUR
3.1.2.	Commission for execution of trades /percentage of the value of the trade/:		
	3.1.2.1 EUROPE		
	France, Germany, Netherlands, Switzerland	0.50%, min 40 EUR	0.40%, min 40 EUR
	Luxembourg	0.50%, min 45 EUR	0.40%, min 45 EUR
	Belgium, Denmark, Finland, Italy	0.50%, min 50 EUR	0.40%, min 50 EUR
	Austria, Portugal, Spain	0.50%, min 55 EUR	0.40%, min 55 EUR
	Norway, Sweden	0.50%, min 65 EUR	0.40%, min 65 EUR
	United Kingdom (note: an additional stamp duty applies on all purchases done in GBP)	0.50%, min.50 GBP/50 USD	0.40%, min 50 GBP/50 USD
	3.1.2.2 AMERICAS		
	USA	0.50%, min 50 USD	0.40%, min 50 USD
	Canada	0.50%, min 70 CAD	0.40%, min 70 CAD
	3.1.2.3 OTHERS		
	Australia	0.75%, min 125 AUD	0.70%, min 125 AUD
	Other	negotiable	negotiable

3	TRADES AND OPERATIONS WITH SECURITIES REGISTERED AT FOREIGN DEPOSITORIES	IN BANK OFFICE	ELECTRONIC CHANNEL
3.2.	Trades with bonds at the corresponding regulated market		
3.2.1.	Acceptance of orders fee	3 EUR	2 EUR
3.2.2.	Commission for execution of trades /percentage of the value of the trade/:		
	Germany, Italy	0.50%, min 40 EUR	0.50%, min 40 EUR
3.3.	Over-the-counter trades with bonds		
3.3.1.	Acceptance of orders fee	3 EUR	n.a
3.3.2.	Commission for over-the-counter trades with bonds where UCB does not act in capacity of commissioner, but it is party to the trade	no commission	n.a
3.4.	Request for transferring securities to another investment intermediary through foreign depositories	10 EUR	n.a
3.5.	Execution of orders for transactions with structured financial instruments:		
3.5.1.	Primary market		
3.5.1.1	Acceptance of orders fee	3 EUR	n.a
3.5.1.2	Commission for execution of trades /percentage of the value of the trade/:	1.00% - 5.00%	n.a
3.5.2.	Secondary market: Bloomberg MTF		
3.5.2.1	Acceptance of orders fee	3 EUR	n.a
3.5.2.2	Commission for execution of trades /percentage of the value of the trade/:	0.50%, min 50 EUR	n.a



ORDER EXECUTION AND TRANSACTION SERVICES

Appendix 10

4	OTHER FEES AND COMMISSIONS	IN BANK OFFICE	ELECTRONIC CHANNEL
4.1.	Subscription at Private Offerings through another Investment Intermediary	20 BGN	n.a
4.2.	Maintenance of securities account (subject to VAT)		
4.2.1.	Maintenance of securities account for securities registered at Central Depository AD and BNB /for non-professional investor/	0.05%, min 3 BGN	0.05%, min 3 BGN
4.2.2.	Maintenance of securities account for securities registered at Central Depository AD and BNB /for professional investor/	0.05%, min 3 BGN	0.05%, min 3 BGN
4.2.3.	Maintenance of securities account for securities registered at an international depository /for non-professional investor/	0.15%, min 3 BGN	0.15%, min 3 BGN
4.2.1.	Maintenance of securities account for securities registered at an international depository /for professional investor/	0.15%, min 3 BGN	0.15%, min 3 BGN



NOTES

The maintenance fee is quoted on an annual basis, while the payment liability will be calculated and collected on a monthly basis on the grounds of the market value of the financial instruments, which are kept with UniCredit Bulbank AD, calculated as of the last day of the month. In case of lack of market value and /or it is 0 the percentage rate is applied on the facevalue/