

Art.	VIII. Securities and Custody	Through electronic channel	On paper/ in Bank office
47	Trades and operations with securities		
47.1	Trades with securities registered in Central Depository at market: regulated market BSE-Sofia (BSE and BaSE); SME Growth Market BEAM; and over-the-counter		
47.1.1	Execution of shares /rights/compensatory instruments orders		
47.1.1.1	Acceptance of orders fee	BGN 3	BGN 6
47.1.1.2	Commission for execution of trades for traded value:		
47.1.1.2.1	Up to BGN 10 000	1.50 %, min. BGN 20	1.80 %, min. BGN 25
47.1.1.2.2	From BGN 10 000.01 to BGN 100 000	1%	1.40%
47.1.1.2.3	From BGN 100 000.01 to BGN 200 000	0.70%	0.90%
47.1.1.2.4	Above BGN 200 000.01	By arrangement	By arrangement
47.1.2	Execution of bonds orders on regulated market BSE:		
47.1.2.1	Acceptance of orders fee	BGN 3	BGN 6
47.1.2.2	Commission for execution of trades for traded value:		
47.1.2.2.1	Up to BGN 75 000	BGN 15	BGN 20
47.1.2.2.2	From BGN 75 000.01 to BGN 200 000	0.15%	0.20%
47.1.2.2.3	Above BGN 200 000.01	0.10%	0.15%
47.1.3	Checking the balance of an account with the Central	BGN 10	
47.1.4	Request for transferring res/rights/compensatory instruments to another investment intermediary or to the register of the Central Depository AD	BGN 20	
47.1.5	Request for issuing a depository receipt	BGN 10	
47.2	Trades with Government bonds registered at Bulgarian National Bank		
47.2.1	Participation at primary auctions		
47.2.1.1	Acceptance of orders fee	BGN 3	BGN 6
47.2.1.2	Commission for execution of trades:		
47.2.1.2.1	For approved bids /Commission is percentage of the	0.10 %, min. BGN 15	
47.2.1.2.2	For not approved bids	BGN 15	
47.2.2	Secondary trading		
47.2.2.1	Acceptance of orders fee	BGN 3	BGN 6
47.2.2.2	Commission for trade with Government bonds where UCB is party to the transaction, not commissioner	Free of charge	
47.2.2.3	Commission for trade with Government bonds with the intermediation of the Bank /commissioner/ as	0.10 %, min. BGN 20	
47.3	For registration of bonds in case of:		
47.3.1	Transfer of Government bonds to/from the register of the Bank to from/to the register of another ESROT	BGN 20	
47.3.2	Transfer of Government bonds into the account of	BGN 20	
47.3.3	Transfer of Government bonds to another primary	BGN 20	
47.3.4	Blocking and unblocking of Governments bonds in the bank's register /percentage of the notional value of the bonds/	0.03%	

47.4	Collection of principal for Bulgarian Government bonds at Bulgarian National Bank at maturity /percentage of the notional value of the bonds/	0.03%	
47.5	Excerpt from the bank's register	BGN 20	
47.6	Trades with securities registered at FOREIGN DEPOSITORIES		
47.6.1	Acceptance of orders fee	EUR 2	EUR 3
47.6.2	Commission for execution of trades with shares at the corresponding regulated market /Commissions are percentage of the value of the trade/:		
	Austria	0.40%, min.	0.50 %, min.
	Belgium	0.40%, min.	0.50 %, min.
	Denmark	0.40%, min.	0.50 %, min.
	Finland	0.40%, min.	0.50 %, min.
	France	0.40%, min.	0.50 %, min.
	Germany	0.40%, min.	0.50 %, min.
	Italy	0.40%, min.	0.50 %, min.
	Luxembourg	0.40%, min.	0.50 %, min.
	Netherlands	0.40%, min.	0.50 %, min.
	Norway	0.40%, min.	0.50 %, min.
	Portugal	0.40%, min.	0.50 %, min.
	Spain	0.40%, min.	0.50 %, min.
	Sweden	0.40%, min.	0.50 %, min.
	Switzerland	0,40%, min.	0.50 %, min.
	United Kingdom (note: an additional stamp duty applies)	0.40 %, min. GBP 50/ USD 50	0.50 %, min GBP 50/USD 50
	USA	0.40 %, min.	0.50 %, min.
	Canada	0.40 %, min.	0.50 %, min.
	Australia	0.70 %, min.	0.75 %, min.
	Other regulated markets	By arrangement	By arrangement
47.6.3	Execution of orders for bonds, on regulated market, as follows /Commissions are		
	Germany,Italy	0.50 %, min. EUR 40	
47.6.4	Commission for over-the-counter trades with bonds where UniCredit Bulbank does not act in capacity of	Free of charge	
47.6.5	Request for transferring securities to another	EUR 10	
47.7	OTHER FEES AND COMMISSIONS		
47.7.1	Subscription at Private Offerings through another Investment Intermediary	BGN 20	
47.7.2	Quoted on an annual basis, while the payment liability will be calculated and collected on a monthly basis on the grounds of the market value of the financial instruments, which are kept with UniCredit Bulbank AD, calculated as of the last day		
47.7.2.1	* Maintenance of securities account for securities registered at Central Depository AD and BNB	0.05 %, min. BGN 3	
47.7.2.2	* Maintenance of securities account for securities registered at Central Depository AD and BNB /for professional investor/	0.05 %, min. BGN 3	

47.7.2.3	* Maintenance of securities account for securities registered at an international depository /for non-professional investor/	0.15 %, min. BGN 3
47.7.2.4	* Maintenance of securities account for securities registered at an international depository /for professional investor/	0.10 %, min. BGN 3
48 REGISTRATION AGENT SERVICES		
48.1	Request for issuing a certificate of portfolio status	
48.1.1	Fee for the financial or compensatory instruments on	BGN 140
48.1.2	Fee for the financial or compensatory instruments with data of the Central Depository member where kept /extended portfolio/	BGN 160
48.2	Issuance of certificate for blocked in favor of the Ministry of Finance compensatory instruments in	BGN 40
48.3	Transfer of securities and compensatory instruments in case of pre-negotiated deals without cash flow movements (delivery free of payment):	
48.3.1	Acceptance of orders fee	BGN 6
48.3.2	Commission for execution of trades for traded value:	
48.3.2.1	Up to BGN 30 000	0.80 %, min. BGN 60
48.3.2.2	From BGN 30 000.01 to BGN 100 000	0.65%
48.3.2.3	From BGN 100 000.01 to BGN 200 000	0.50%
48.3.2.4	Above BGN 200 000.01	By arrangement
48.4	Transfer of securities and compensatory instruments in case of pre-negotiated deals with cash flow movements (delivery versus payment):	
48.4.1	Acceptance of orders fee	BGN 6
48.4.2	Commission for execution of trades for traded value:	
48.4.2.1	Up to BGN 30 000	1 %, min. BGN 60
48.4.2.2	From BGN 30 000.01 to BGN 100 000	0.85%
48.4.2.3	From BGN 100 000.01 to BGN 200 000	0.60%
48.4.2.4	Above BGN 200 000.01	By arrangement
48.5	Transfer of securities and compensatory instruments	BGN 60
48.6	Request for changes in personal data	BGN 20
48.7	Request for issuing a depository receipt duplicate	BGN 15
49 Custodial and depository services (VAT taxable)		
49.1	Applicable charges as regards shareholders in companies which have their	
49.1.1	Disclosure of information regarding shareholder identity (Shareholder identification)	By arrangement
49.1.2	Transmission of notices (Notifications) for general meetings	By arrangement
49.1.3	Facilitation of the exercise of shareholder rights to participate (Voting/Proxy Voting) in general meetings	By arrangement
49.1.4	Transmission of information (Notifications) for corporate events other than general meetings	By arrangement
49.1.5	Income collection, including dividends	By arrangement

49.1.6	Facilitation of the exercise of shareholder rights to participate in corporate events other than general meetings	By arrangement
Notes:		
1. The currency of the minimum fee in art. 47.3.2. for United Kingdom is the same as the currency of the deal.		
2. The account holders of UCB are exempt from fees and commissions in the following cases: when carrying out transfers with shares from the capital of the bank; buying/selling, inheritance, inheritance with donation; change of personal data; issuing a copy of a deposit receipt; opening, maintenance and closing of a current account, which is going to be used for receiving money from selling of their shares of the Bank. Deadline for closure: 30 days from the date of receipt of the money from the sales of the shares. In case the account was not closed, the fees of the currently valid tariff of the Bank will apply.		
3. For the purposes of Art. 49.1 "Member State" is a State which is a Member of the European Union or another State which belongs to the European Economic Area.		