



# Investments and markets

Bulbank Online

The option to trade in securities through Bulbank Online called Investments and Markets, provides the clients of the bank the opportunity to remotely:



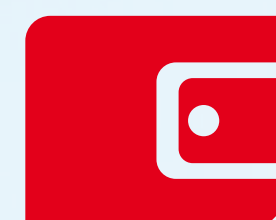
**Buy and sell securities:**

shares and bonds on financial markets in Bulgaria and abroad



**Have an overview of the market**

(market survey)



**Keep track of their orders/transactions and the details of their securities portfolio**

# Functions

## Investments and markets

### **Bulbank Online**

An alternative channel for placing orders, without visiting a branch of the Bank, through the Investments and Markets module.

### **Market Survey**

Information about share and bond market in Bulgaria: Bulgarian Stock Exchange.

### **Trade in securities**

Placing orders for purchase and/or sale of securities on the Bulgarian and foreign markets.

### **Portoflio and orders**

-Information about the portfolio of securities;  
-Order history, statuses.



1



2

## Log in to Bulbank Online

If there is no financial trading agreement, respectively no right to trade through the online banking and/or lack of mobile token/qualified electronic signature – the user has access to Investments and Markets, but cannot trade.



1



2

## Select the menu Investments and Markets

### Information page:

- List of places for client orders execution
- Documents related to the service
- List of places for changes in service access

### And the following options:

- Trade in securities
- Market survey
- Portfolio and orders

The screenshot shows the UniCredit Bulbank website interface. At the top, there is a navigation bar with the language 'БЪЛГАРСКИ' and links to 'TO NEW VERSION OF BULBANK ONLINE', 'TO INDIVIDUAL CUSTOMERS', 'CONTACTS', 'USER GUIDES', 'SHARE WITH US', 'HOME', and 'LOGOUT'. The main header features the UniCredit Bulbank logo and a notification bell icon with the message 'You do not have any new messages.' Below the header is a menu with options: 'INFORMATION', 'TRANSACTIONS', 'CORPORATE SERVICES', 'SETTINGS', 'SUPPORT', and 'INVESTMENTS AND MARKETS' (which is highlighted in red). On the left side, there are links for 'Trade with securities', 'Market overview', and 'Portfolio and Orders'. A prominent message states: 'You don't have a certificate. If you want to get a certificate from Bulbank or register certificate from other Certification Authority, please go to **Certificates** page and follow instructions on the page.' The main content area features a banner with the text 'Invest in your future. Investments and Markets Trading with financial instruments.' Below the banner, a message reads: 'Dear customers, In "INVESTMENTS AND MARKETS" you could transmit your order for buy and sell securities every working day from 8:30 a.m. to 5:30 p.m. or any other time proposed to you through the Online Module. Here you could find execution venues. Orders are executed according to the Tariff for fees and commissions of UniCredit Bulbank AD. All necessary documents for the service are here. In case you need to change your access to the service, you should visit one of the listed branches of UniCredit Bulbank AD.'

# Trade in securities



Placing orders (**market, limited, iceberg**) for purchase and/or sale of securities (**shares and bonds**) on the Bulgarian and foreign markets.

Clients can place orders for securities through Bulbank Online every workday **from 8:30 to 17:30**, unless otherwise stated.





## Trade in securities

- 1 Select the **type of security**: shares or bonds
- 2 Select **client**: the client under the agreement is selected by default
- 3 Select **Continue**

The screenshot shows the UniCredit Bulbank online portal. At the top, there is a navigation bar with links for 'BULBANK', 'TO NEW VERSION OF BULBANK ONLINE', 'TO CORPORATE CUSTOMERS', 'CONTACTS', 'USER GUIDES', 'SHARE WITH US', 'HOME', and 'LOGOUT'. The main header features the UniCredit Bulbank logo and a notification bell icon with the text 'You do not have any new messages. Change your password. Session expires in 195 mins.' Below the header, there is a menu with 'INFORMATION', 'TRANSACTIONS', 'CARDS', 'LOANS', 'E-SETTINGS', 'MOBILE SERVICES', and 'PROGRAM "PLUS"'. The main content area is titled 'INVESTMENTS AND MARKETS' and includes a sidebar with 'QUICK LINKS' such as Balances, Movements, Local currency, To/from budget accounts, Foreign currency, Direct Debit, Orders management, Certificates, Mobile services, Tariff for individual clients, and Information Bulletin For Depositors. The main content area is titled 'TRADE WITH SECURITIES' and features a banner with the text 'Save time. Trade in Bulbank Online. Financial markets. A new functionality - trade securities.' Below the banner, there is a message: 'Dear, Customers, You have the possibility to buy or sell securities in the working hours of the Bank from 08:30 till 17:30. You could complete your orders for buy or sell securities in some easy steps following the instructions on the next screens.' There are two radio buttons for 'SHARES' (selected) and 'BONDS'. Below these is a 'CLIENT' dropdown menu and a 'NEXT' button.



## Trade in securities

When placing order for a security the client goes through three main steps:

### [1] Search

- 1 Enter **search criteria**: issuer, ISIN, stock symbol
- 2 **Select a security** from the results
- 3 **Select an action**: buy or sell
- 4 Select **Continue**

It is possible to register a new financial instrument or market through **Request New Registration**



The screenshot shows the UniCredit Bulbank online trading interface. At the top, there is a navigation bar with links for "БЪЛГАРООН", "TO NEW VERSION OF BULBANK ONLINE", "TO CORPORATE CUSTOMERS", "CONTACTS", "USER GUIDES", "SHARE WITH US", "HOME", and "LOGOUT". Below this is the UniCredit Bulbank logo and a notification bell icon with the text "You do not have any new messages. Change your password".

The main navigation menu includes "INFORMATION", "TRANSACTIONS", "CARDS", "LOANS", "E-SETTINGS", "MOBILE SERVICES", and "PROGRAM 'PLUS'". The "INVESTMENTS AND MARKETS" section is active, showing "TRADE WITH SECURITIES", "MARKET OVERVIEW", and "PORTFOLIO AND ORDERS".

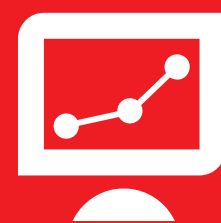
The "TRADE WITH SECURITIES" section features a "NEW ORDER PURCHASE / SELL SHARES" button and a progress indicator with three steps: "1 SEARCH", "2", and "3". A search form is displayed with the text "Please enter market search criteria:" and a search input field containing "3u9". A "REQUEST NEW REGISTRATION" button is also present.

Below the search form, a table displays search results:

Emitent	Market	ISIN code	Market ticker
UNIPAK AD	BSE-Sofia AD	BG11UNPAAT15	3U9

Buttons for "BUY" and "SELL" are located below the table. At the bottom of the interface, there are navigation buttons: "<< STEP BACK" and "NEXT >>".





## Trade in securities

### [2] Order data

- 1 Specify the **order type**: market, limited, iceberg (only for BSE)
- 2 Enter **quantity, price, peak volume, trading phases**, depending on the order type
- 3 Select an **account** to complete the order (if the account currency is different from the trading currency, and in case of a market price, the blocked amount for the purchase is higher)
- 4 Select **Continue**



БЪЛГАРСКИ TO NEW VERSION OF BULBANK ONLINE TO CORPORATE CUSTOMERS CONTACTS USER GUIDES SHARE WITH US HOME LOGOUT

UniCredit Bulbank

INFORMATION TRANSACTIONS CARDS LOANS E-SETTINGS MOBILE SERVICES PROGRAM "PLUS"

INVESTMENTS AND MARKETS

TRADE WITH SECURITIES

NEW ORDER BUY SHARES

1 2 ORDER DATA 3

Issue type: Shares  
Emittent and market: UNIPAK AD - BSE-Sofia AD  
ISIN: BG11UNPAAT15  
Order direction: BUY

Order type:  Market  
 Limited  
 Asberg

Quantity: 1 shares

Price: Price depends on market conditions at the moment of transaction

Validity: 18.07.2019

Trade phase: All

IBAN: ...

Total cost: 8.80 BGN  
Commission: Commission will be calculated on next step.  
Order by means: Not packet  
Payment by means: DVP direct debit  
Order placement by means: Online

I am aware of the standards for the price per unit and its rounding with respect to tick size for the specific market or other market requirements with respect to minimum price increment.

<< STEP BACK NEXT >>



## Trade in securities

### [3] Review

- 1 **Check** the details of the order
- 2 **Confirm** all terms and conditions, policies and declarations
- 3 Select **Continue and Send**

БЪЛГАРСКИ TO NEW VERSION OF BULBANK ONLINE TO CORPORATE CUSTOMERS CONTACTS USER GUIDES SHARE WITH US HOME LOGOUT

**UniCredit Bulbank**

INFORMATION TRANSACTIONS CARDS LOANS E-SETTINGS MOBILE SERVICES PROGRAM "PLUS"

INVESTMENTS AND MARKETS

TRADE WITH SECURITIES

MARKET OVERVIEW

PORTFOLIO AND ORDERS

NEW ORDER BUY SHARES

1 2 3 PREVIEW

**QUICK LINKS**

- Balances
- Movements
- Local currency
- To/from budget accounts
- Foreign currency
- Direct Debit
- Orders management
- Certificates
- Mobile services
- Tariff for individual clients
- Information Bulletin For Depositors

Issue type: Shares  
Emittent and market: UNIPAK AD - BSE-Sofia AD  
ISIN: BG11UNPAAT15  
Order direction: BUY  
Order type: Market  
Quantity: 1 shares  
Price: Price depends on market conditions at the moment of transaction  
Total cost: Total cost depends of current market values  
Approximate commission: No  
Block amount:  
Validity: 18.07.2019  
IBAN: BG03UNCN70004519727917

Trade phase: All  
Order by means: Not packet  
Payment by means: DVP direct debit  
Order placement by means: Online  
Comission: As per **Tariff**

- General terms**
- Policy execution of client orders for transactions in financial instruments**
- Policy on conflict of interest**
- I agree with the declarations**

UniCredit Bulbank AD has determined that based on the provided information the required deal with financial instruments is inadequate for you and:  
You do not possess the necessary knowledge and experience regarding the type of financial instrument, subject to this contract order and the risk associated with the required investment service is higher than your risk profile.

I confirm the order.





## Trade in securities

When an order (purchase/sale) for a security is successfully placed, a message with the order information is generated.

- 1 Select **Portfolio and Orders**, if you would like to track the order.

The screenshot displays the UniCredit Bulbank online interface. At the top, there is a navigation bar with links for 'ΕΛΛΗΓΡΟΝ', 'TO NEW VERSION OF BULBANK ONLINE', 'TO CORPORATE CUSTOMERS', 'CONTACTS', 'USER GUIDES', 'SHARE WITH US', 'HOME', and 'LOGOUT'. The main header features the UniCredit Bulbank logo and a notification bell icon with the text 'You do not have any new messages. Change your password'. Below the header, a menu bar includes 'INFORMATION', 'TRANSACTIONS', 'CARDS', 'LOANS', 'E-SETTINGS', 'MOBILE SERVICES', and 'PROGRAM "PLUS"'. The 'INVESTMENTS AND MARKETS' section is active, showing a list of options: 'TRADE WITH SECURITIES', 'MARKET OVERVIEW', and 'PORTFOLIO AND ORDERS'. A green message box indicates: 'All fields are correct. Your request with id 1020443 is accepted 18.07.2019 13:55:42, with validity date 18.07.2019 23:59:59'. A blue button labeled 'PORTFOLIO AND ORDERS' is visible. On the left, a 'QUICK LINKS' menu lists various services such as Balances, Movements, Local currency, To/from budget accounts, Foreign currency, Direct Debit, Orders management, Certificates, Mobile services, Tariff for individual clients, and Information Bulletin For Depositors.





## Trade in securities

In the process of trading and searching for a financial instrument, it is possible to make a request for:



Registration of a financial instrument/market

### 1 Select **Request New Registration**

The screenshot displays the UniCredit Bulbank online trading platform. At the top, there is a navigation bar with links for 'ΕΠΙΤΡΑΧΗ', 'TO NEW VERSION OF BULBANK ONLINE', 'TO CORPORATE CUSTOMERS', 'CONTACTS', 'USER GUIDES', 'SHARE WITH US', 'HOME', and 'LOGOUT'. The main header features the UniCredit Bulbank logo and a notification bell icon with the message 'You do not have any new messages. Change your password'. Below the header, there are tabs for 'INFORMATION', 'TRANSACTIONS', 'CARDS', 'LOANS', 'E-SETTINGS', 'MOBILE SERVICES', and 'PROGRAM "PLUS"'. The 'INVESTMENTS AND MARKETS' section is active, showing 'TRADE WITH SECURITIES' and 'NEW ORDER PURCHASE / SELL SHARES'. A progress indicator shows three steps, with the first step 'SEARCH' being the current step. A search form is present with the label 'Please enter market search criteria:' and a text input field containing 'gtrs'. A 'REQUEST NEW REGISTRATION' button is located to the right of the input field. Below the search form, a red error message states 'The searched finance issue can not be found.'. At the bottom of the search area, there are two buttons: '<< STEP BACK' and 'NEXT >>'. On the left side, there is a 'QUICK LINKS' menu with various options such as 'Balances', 'Movements', 'Local currency', 'To/from budget accounts', 'Foreign currency', 'Direct Debit', 'Orders management', 'Certificates', 'Mobile services', 'Tariff for individual clients', and 'Information Bulletin For Depositors'.



## Trade in securities

In the process of trading and searching for a financial instrument, it is possible to make a request for:



Registration of a financial instrument/market

- 1 Select **Request New Registration**
- 2 Select a **market**
- 3 Fill in the **ISIN**
- 4 Select **Send**

The screenshot illustrates the UniCredit Bulbank online interface for requesting a new financial instrument registration. It shows a sequence of four dialog boxes:

- Step 1:** A dialog box titled "NEW ISSUE REGISTRATION" with a "Request New Registration" button.
- Step 2:** A "CHOOSE MARKET" dialog box listing various stock exchanges, with "NASDAQ OMX Helsinki" selected.
- Step 3:** A "NEW ISSUE REGISTRATION" dialog box where the "MARKET" is set to "NASDAQ OMX Helsinki" and the "ISIN" field contains "gtrs".
- Step 4:** A confirmation dialog box stating "Your request for registration of a new financial instrument was sent." with "BACK" and "TO TRADE WITH SECURITIES" buttons.

# Market survey



**Detailed information**  
on the share and bond  
market in Bulgaria  
– Bulgarian Stock  
Exchange.

An option to **compare**  
two financial  
instruments and  
options for sale  
trade of the selected  
security.





## Market survey

Information about a financial instrument at the Bulgarian Stock Exchange

- 1 Enter **search criteria:** issuer, ISIN, or stock symbol
- 2 Select a client and then **Purchase/Sale**

- TRADE WITH SECURITIES
- MARKET OVERVIEW
- PORTFOLIO AND ORDERS

QUICK LINKS

- Balances
- Movements
- Local currency
- To/from budget accounts
- Foreign currency
- Direct Debit
- Orders management
- Certificates
- Mobile services
- Tariff for individual clients
- Information Bulletin For Depositors

### MARKET OVERVIEW

CLIENT

Please enter market search criteria:

EMITENT / ISIN / MARKER

#### EMISSION DATA

Market ticker:	3U9
ISIN:	BG11UNPAAT15
Exchange:	BSE-Sofia AD
1 year high:	0
1 year low:	0

#### PRICE LEVELS

Best buy price:	0
Best sell price:	0
Current price:	0
Last closing price:	8.8
Days change:	0

PURCHASE

SALE

Compare





## Market survey

Comparison of market information about two financial instruments

- 1 Enter **search criteria**: issuer, ISIN, or stock symbol
- 2 Select **Compare**
- 3 Enter **search criteria** for the second instrument: issuer, ISIN, or stock symbol
- 4 Enter **search criteria**

- TRADE WITH SECURITIES
- MARKET OVERVIEW**
- PORTFOLIO AND ORDERS

QUICK LINKS

- Balances
- Movements
- Local currency
- To/from budget accounts
- Foreign currency
- Direct Debit
- Orders management
- Certificates
- Mobile services
- Tariff for individual clients
- Information Bulletin For Depositors

### MARKET OVERVIEW

CLIENT

Please enter market search criteria:

EMITENT / ISIN / MARKER

3U9

#### EMISSION DATA

Market ticker:	3U9	AO9
ISIN:	BG11UNPAAT15	BG1100151072
Exchange:	BSE-SOFIA AD	BSE-SOFIA AD
1 year high:	0	0
1 year low:	0	0

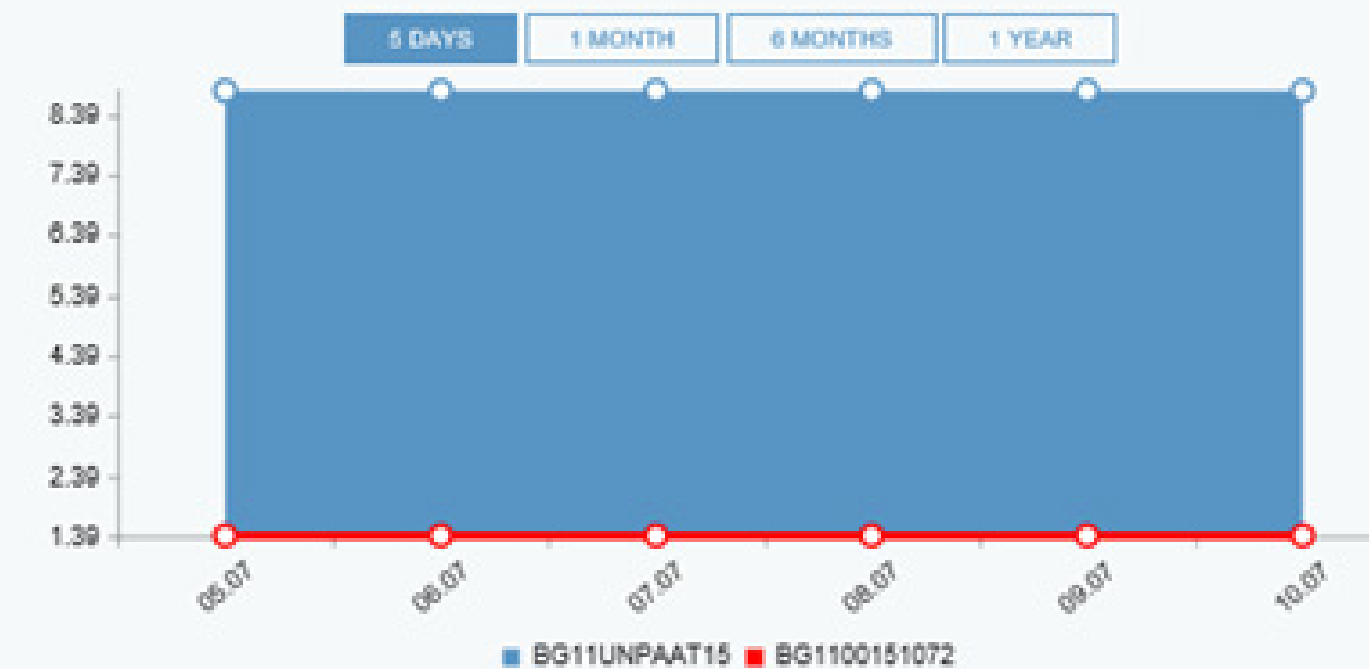
#### PRICE LEVELS

Best buy price:	0	1.12
Best sell price:	0	1.54
Current price:	0	0
Last closing price:	8.8	1.4
Days change:	0	0

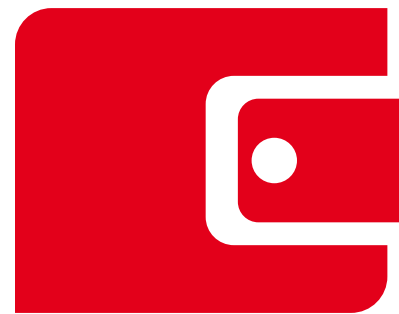
PURCHASE

SALE

Compare



# Portfolio and orders



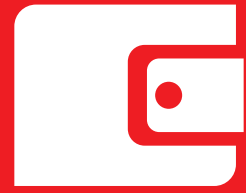
## Portfolio

Summarized information on the portfolio of securities, an option to sell already purchased financial instruments.

## Orders

Order history, statuses.





## Portfolio and orders

### Portfolio

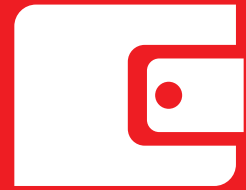
Information on the full portfolio as well as separately purchased securities: shares and bonds with an option for sale



Trade in securities

The screenshot shows the UniCredit Bulbank web interface. At the top, there's a navigation bar with tabs for INFORMATION, TRANSACTIONS, CARDS, LOANS, E-SETTINGS, MOBILE SERVICES, and PROGRAM "PLUS". Below this, there's a sub-menu for INVESTMENTS AND MARKETS with options for TRADE WITH SECURITIES, MARKET OVERVIEW, and PORTFOLIO AND ORDERS. A sidebar on the left contains QUICK LINKS such as Balances, Movements, Local currency, and more. The main content area is titled PORTFOLIO AND ORDERS and includes a client selection dropdown, a SHOW button, and a Shares section with a search input for Company / ISIN / Market ticker. A summary box displays a Portfolio value of 5537.4 BGN and a Last change of 18.07.2019 15.13. Below this, there are two disclaimers about data sources (BSE and Bloomberg) and a table listing securities.

SECURITIES TYPE	COMPANY	ISIN	MARKET TICKER	AVAILABLE STOCK QUANTITY	STOCK QUANTITY IN SETTLEMENT	TOTAL QUANTITY	AVERAGE BUY PRICE	TOTAL BUY SUB
Shares Sell	PROSIEBEN SAT.1 MEDIA AG-REG	DE0009547770		105	0	105	38.745 EUR	4171.23 EUR
Shares	FOND ZA NEOLIM BVDI BULGARIA AD-BC	BG1100001053	BBL	500	0	500		467.5 BGN
Shares Sell	ESHARES CORE DAX UCITS ETF D	DE0006900031		50	0	50	89.04 EUR	4552 EUR
Shares Sell	UNICREDIT SPA	IT0005175002_DV		0	1.51	1.51	0 EUR	1.51 EUR
Shares Sell	ROYAL DUTCH SHELL PLC-A SH	GB000503MLX29		0	10	10	0 GBP	29 GBP
Shares Sell	CHIMIMPORT AD	BG1100048086	BC4	0	1	1	0 BGN	1.2 BGN
Shares Sell	COMMERZBAN KAG	DE0000281001		10	3	13	7.8 EUR	94.8 EUR



# Portfolio and orders

## Order history

Status information on all orders: purchases and sales, with details for each one and an option to cancel active (incomplete) orders

The screenshot shows the UniCredit Bulbank online interface. At the top, there are navigation links: INFORMATION, TRANSACTIONS, CARDS, LOANS, E-SETTINGS, MOBILE SERVICES, and PROGRAM "PLUS". The main header includes the UniCredit Bulbank logo and a notification bell. Below the header, there are tabs for PORTFOLIO and ORDERS HISTORY. The ORDERS HISTORY tab is active, showing a list of orders with columns for ORDER NUMBER, EMITENT / MARKET TICKER, TYPE OF SECURITIES, DIRECTION, ISIN, QUANTITY / NOMINAL, ORDER DATE, EXECUTION PRICE, and STATUS. The first order (1020130) is highlighted, and its details are shown below, including Order type (Market Price), Market of execution (NYSE Euronext Paris), Limit, Validity (29.05.2019), and Execution Date (29.05.2019 15:42:56; 29.05.2019 15:40:13). Below the details, there is a Transactions table with columns for Execution date and time, Quantity, Price, Amount, and Fees. The Transactions table shows two entries for the order 1020130, with execution times 29.05.2019 15:42:56 and 29.05.2019 15:40:13. The second order (1020135) is also visible in the list, showing details for COMMERZBANK AG CBK GY.

ORDER NUMBER	EMITENT / MARKET TICKER	TYPE OF SECURITIES	DIRECTION	ISIN	QUANTITY / NOMINAL	ORDER DATE	EXECUTION PRICE	STATUS
1020130	TOTAL SA FP FP	SHARES	BUY	FR0000120271	10	29.05.2019 15:34:09	40.5 EUR	✓
1020135	COMMERZBANK AG CBK GY	SHARES	BUY	DE000CBK1001	10	29.05.2019 15:17:54	7.5 EUR	✓
1020133	CHIMIMPORT AD 6C4	SHARES	BUY	BG1100046066	1	29.05.2019 11:17:01		⊘
1020136	COMMERZBANK AG CBK GY	SHARES	BUY	DE000CBK1001	10	28.05.2019 17:45:23	6.2 EUR	⊘

Execution date and time	Quantity	Price	Amount	Fees
29.05.2019 15:42:56	5	41 EUR	205 EUR	Other expenses: 0.62 EUR (0.3 %) Total expenses: 0.62 EUR (0.3 %)
29.05.2019 15:40:13	5	40 EUR	200 EUR	Commissions: 40 EUR (20 %) Other expenses: 0.6 EUR (0.3 %) Total expenses: 40.6 EUR (20.3 %)